| | | Application/Control No. | Applicant(s)/Patent Under |
|---|---|---|---|

**Notice of References Cited**

| | Application/Control No. 09/823,581 | Applicant(s)/Patent Under Reexamination CHEN ET AL. |
|---|---|---|
| | Examiner Scott L. Jarrett | Art Unit 3623 | Page 1 of 6 |

## U.S. PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification |
|---|---|---|---|---|---|
| | A | US-5,822,585 | 10-1998 | Noble et al. | 719/316 |
| | B | US-5,960,404 | 09-1999 | Chaar et al. | 705/8 |
| | C | US-6,119,149 | 09-2000 | Notani, Ranjit N. | 709/205 |
| | D | US-6,397,191 | 05-2002 | Notani et al. | 705/9 |
| | E | US-6,332,155 | 12-2001 | Notani, Ranjit N. | 709/205 |
| | F | US-6,519,642 | 02-2003 | Olsen et al. | 709/227 |
| | G | US- | | | |
| | H | US- | | | |
| | I | US- | | | |
| | J | US- | | | |
| | K | US- | | | |
| | L | US- | | | |
| | M | US- | | | |

## FOREIGN PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification |
|---|---|---|---|---|---|---|
| | N | | | | | |
| | O | | | | | |
| | P | | | | | |
| | Q | | | | | |
| | R | | | | | |
| | S | | | | | |
| | T | | | | | |

## NON-PATENT DOCUMENTS

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | Munindar P. Singh et al., Multiagent Systems for Workflow International Journal of Intelligent Systems in Accounting, Finance and Management, Volume 8, 1999, Pages 105-117. |
| | V | Feng Wan et al., Multiagent Workflow Management IJCAI Workshop on Intelligent Workflow and Process Management: The New Frontier for AI in Business August 1999. |
| | W | Merz, Michael et al., Using Mobile Agents to Support Interorganizational Workflow-Management International Journal on Applied Artificial Intelligence, 11(6), September 1997, S. 551ff |
| | X | Huhns, Michael, Agent Foundations for Cooperative Information Systems Third International Conference on the Practical Application of Intelligent Agents and Multi-Agent Technology, March 1998. |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

**U.S. PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification |
|---|---|---|---|---|---|
| | A | US- | | | |
| | B | US- | | | |
| | C | US- | | | |
| | D | US- | | | |
| | E | US- | | | |
| | F | US- | | | |
| | G | US- | | | |
| | H | US- | | | |
| | I | US- | | | |
| | J | US- | | | |
| | K | US- | | | |
| | L | US- | | | |
| | M | US- | | | |

**FOREIGN PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification |
|---|---|---|---|---|---|---|
| | N | | | | | |
| | O | | | | | |
| | P | | | | | |
| | Q | | | | | |
| | R | | | | | |
| | S | | | | | |
| | T | | | | | |

**NON-PATENT DOCUMENTS**

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | Shepherdson J.W., et al., Decentralised workflows and software agents BTTJ Vol. 17 No. 4, October 1999. |
| | V | Odgers B.R. et al., Technologies for Intelligent Workflows: Experiences and Lessons Proceedings of Agent-Based Systems in the Business Context, AAAI 1999 Workshop, 19 July 1999. |
| | W | Odgers B.R. et al., Distributed Workflow Co-ordination by Proactive Software Agents Proceedings of Intelligent Workflow and Process Management, IJCAI-99 Workshop, August 1999. |
| | X | Collins P. et al., Processual Management Using Intelligent Workflow Systems Proceedings of 5th International Conference of the Decision Sciences Institute, July 1999. |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

| | | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|---|
| ***Notice of References Cited*** | | 09/823,581 | CHEN ET AL. |
| | | Examiner | Art Unit | Page 3 of 6 |
| | | Scott L. Jarrett | 3623 | |

### U.S. PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification |
|---|---|---|---|---|---|
| | A | US- | | | |
| | B | US- | | | |
| | C | US- | | | |
| | D | US- | | | |
| | E | US- | | | |
| | F | US- | | | |
| | G | US- | | | |
| | H | US- | | | |
| | I | US- | | | |
| | J | US- | | | |
| | K | US- | | | |
| | L | US- | | | |
| | M | US- | | | |

### FOREIGN PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification |
|---|---|---|---|---|---|---|
| | N | | | | | |
| | O | | | | | |
| | P | | | | | |
| | Q | | | | | |
| | R | | | | | |
| | S | | | | | |
| | T | | | | | |

### NON-PATENT DOCUMENTS

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | Shepherdson J.W. et al., Cross Organisational Workflow Co-ordinated by Software Agents WACC '99 (Work Activity Co-ordination and Collaboration) Workshop Paper, February 1999. |
| | V | O'Brien P.D. et al., Agent based process management : applying intelligent agents to workflow Knowledge Engineering Review, Vol. 13:2, September 1998. |
| | W | Jennings N. et al., Designing and Implementing A Multi-Agent Architecture for Business Process Management European Conference on Artificial Intelligence'96 Workshop on Agents Theories, Architecture and Languages, 1996. |
| | X | Jennings, N.R., Using Intelligent Agents to Manage Business Processes First International Conference on The Practical Application of Intelligent Agents and Multi-Agent Technology, 1996, Pages 345-360. |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

| | Notice of References Cited | Application/Control No. 09/823,581 | Applicant(s)/Patent Under Reexamination CHEN ET AL. | |
|---|---|---|---|---|
| | | Examiner Scott L. Jarrett | Art Unit 3623 | Page 4 of 6 |

**U.S. PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification |
|---|---|---|---|---|---|
| | A | US- | | | |
| | B | US- | | | |
| | C | US- | | | |
| | D | US- | | | |
| | E | US- | | | |
| | F | US- | | | |
| | G | US- | | | |
| | H | US- | | | |
| | I | US- | | | |
| | J | US- | | | |
| | K | US- | | | |
| | L | US- | | | |
| | M | US- | | | |

**FOREIGN PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification |
|---|---|---|---|---|---|---|
| | N | | | | | |
| | O | | | | | |
| | P | | | | | |
| | Q | | | | | |
| | R | | | | | |
| | S | | | | | |
| | T | | | | | |

**NON-PATENT DOCUMENTS**

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | Gang Wu et al., A Novel Workflow Management Model Based on Mobile Agents for Internet Electronic Commerce IEEE, 2000. |
| | V | Huhns, Michael et al., Workflow Agents IEEE Internet Computing, July-August, 1998. |
| | W | The evolution of business processes from description to data to smart executable code CSC Research Services, March 2001. |
| | X | Chen, Qiming, Dynamic-Agents, Workflow and XML for E-Commernce Automation Proceedings of the First International Conference on Electronic Commerce and Web Technologies, 2000, Pages: 314 - 323 |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

| | | Application/Control No. 09/823,581 | Applicant(s)/Patent Under Reexamination CHEN ET AL. | |
|---|---|---|---|---|
| **Notice of References Cited** | | Examiner Scott L. Jarrett | Art Unit 3623 | Page 5 of 6 |

## U.S. PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification |
|---|---|---|---|---|---|
| | A | US- | | | |
| | B | US- | | | |
| | C | US- | | | |
| | D | US- | | | |
| | E | US- | | | |
| | F | US- | | | |
| | G | US- | | | |
| | H | US- | | | |
| | I | US- | | | |
| | J | US- | | | |
| | K | US- | | | |
| | L | US- | | | |
| | M | US- | | | |

## FOREIGN PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification |
|---|---|---|---|---|---|---|
| | N | | | | | |
| | O | | | | | |
| | P | | | | | |
| | Q | | | | | |
| | R | | | | | |
| | S | | | | | |
| | T | | | | | |

## NON-PATENT DOCUMENTS

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | Qiming, Chen, Multi-Agent Cooperation, Dynamic Workflow and XML for E-Commerce HP Labs, October 1999 |
| | V | Glushko, Robert J. et al., An XML Frameworkf for Agent-based E-Commerce Communications of the ACM, March 1999, Volumn 42, No. 3, Pages 106-114 |
| | W | Jonker, Wilem et al., Workflow Management Systems and Cross-Organizational Logistics Proceedings of the WACC Workshop on Cross-Organisational Workflow Management and Co-Ordination, 1999 |
| | X | Alty, J. A. et al., ADEPT - Advanced Decision Environment for Process Tasks: Overview & Architecture, 1994, BCS Expert Systems Conference, Pages 359-371. |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

| | | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|---|---|---|---|
| ***Notice of References Cited*** | | 09/823,581 | CHEN ET AL. |
| | | Examiner | Art Unit | |
| | | Scott L. Jarrett | 3623 | Page 6 of 6 |

**U.S. PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification |
|---|---|---|---|---|---|
| | A | US- | | | |
| | B | US- | | | |
| | C | US- | | | |
| | D | US- | | | |
| | E | US- | | | |
| | F | US- | | | |
| | G | US- | | | |
| | H | US- | | | |
| | I | US- | | | |
| | J | US- | | | |
| | K | US- | | | |
| | L | US- | | | |
| | M | US- | | | |

**FOREIGN PATENT DOCUMENTS**

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification |
|---|---|---|---|---|---|---|
| | N | | | | | |
| | O | | | | | |
| | P | | | | | |
| | Q | | | | | |
| | R | | | | | |
| | S | | | | | |
| | T | | | | | |

**NON-PATENT DOCUMENTS**

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
|---|---|---|
| | U | Klusch, Matthias; Intelligent Information Agents Springer, 1999, ISBN: 3-540-65112-8 |
| | V | Weiss, Gerhard, Multiagent Systems: A Modern Approach to Distributed Artifical Intelligence MIT Press, 1999, ISBN: 0-262-23203-0 |
| | W | |
| | X | |

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.